

Sheet

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Form PTO-1449

Examiner

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

Docket Number (Optional)

Application Number

2500 DIV II CON II DIV III CON IV (203-3515 DIV II CON II DIV III CON IV)

10/729,634

Applicant

**U.S. PATENT DOCUMENTS** 

Peter M. Bonutti

(Use several sheets if necessary)

Document

4,501,266 4,555,242

4,572,186

4,585,000

Filing Date

Group Art Number

December 5, 2003

TBA

Filing Date

Initial	Number	Date	Name	Class	Subclass	if Appropriate
an	1,863,057	Jun., 1932	Innes			
	1,909,967	May., 1933	Jones			
	2,854,983	Oct., 1958	Baskin			
	2,936,760	May., 1960	Gants			
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	3,253,594	May., 1966	Matthews et al.			
	3,397,699	Aug., 1968	Kohl			
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	3,774,596	Nov., 1973	Cook			
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	3,882,852	May., 1975	Sinnreich			
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Date Considered

4-6-07

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

McDaniel

Saudagar

Gould et al.

Hershenson

## Application Number Docket Number (Optional) Form PTO-1449 2500 DIV II CON II DIV III CON IV (203-INFORMATION DISCLOSURE CITATION 10/729,634 3515 DIV II CON II DIV III CON IV) IN AN APPLICATION Applicant Peter M. Bonutti Group Art Number (Use several sheets if necessary) Filing Date December 5, 2003 **TBA U.S. PATENT DOCUMENTS** Filing Date Document Examiner if Appropriate Date Name Class Subclass Number Initial May., 1986 Dretler 4,589,686 Sep., 1986 Anspach, Jr. et al. 4,608,965 Weikl et al. Sep., 1986 4,610,662 Frisch Oct., 1986 4,615,704 Jakubczak Mar., 1987 4,651,717 Nov., 1987 Andersen et al. 4,706,670 Oct., 1988 Grooters et al. 4,779,611 Bonomo et al. Jan., 1989 4,798,205 Jan., 1989 Rosenberg 4,800,901 Haber et al. Feb., 1989 4,802,479 Nawaz Aug., 1989 4,861,334 Krauter et al. Oct., 1989 4,875,468 Gill et al. Feb., 1990 4,899,729 Solano et al. May., 1990 4,921,478 May., 1990 Menasche 4,927,412 Jun., 1990 Reddy et al. 4,932,956 Wallsten Sep., 1990 4,954,126 Oct., 1990 Debbas 4,966,583 Jan., 1991 Yuen 4,984,564 Feb., 1991 Walker et al. 4,994,047 Delsanti Mar., 1991 4,998,539 Mar., 1991 Hasson 5,002,557 Aug., 1991 Chu 5,041,093 5,042,976 Aug., 1991 Ishitsu et al. Jun., 1992 Allgood 5,122,122 5,163,949 Nov., 1992 **Bonutti** Yoon May., 1996 5,514,091 Date Considered Examiner 4-10-07

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	(Use several sheets if neo	essary)	Filing Date December 5, 20		Group Art N	Group Art Number TBA	
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	Document					Transla	tion
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W.	2,580,504	Oct., 1986	FR				
	1,323,090	Jul., 1987	SU				1
	594,960	Mar. 1978	SU				
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Form PTO-1	1449	Docket Number (Optional)		Application Number
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	IN AN APPLICATION	Applicant Peter M. Bonutti		
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m	Expert Report of Ernest K. Manders, M.I		997.	
	Expert Report of Guy Voeller, M.D., exec	cuted date Dec. 1, 1997.		
. /	Declaration of Guy Voeller in Support of al., "Anclaje cervical endoscopico. Nuevurol., 41.2 (127-130), 1988(English trans	o tratamiento para la incon nslation attached).	tinencia de	esfuerzo." Arch. Esp. de
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	"Nasal Reconstruction with the Rapidly Expanded Forehead Flap," Laryngoscope, 99:1096-1098, Henry T. Hoffman, M.D., and Shan H. Baker, M.D.			
	Retroperitoneoscopy: A Preliminary Rep			
	Stress Incontinence: A New Endoscopic vol. 36, No. 5, pp. 403-405.		•	
	Order Denying Plaintiff General Surgical Claim 3 or U.S. Patent No. 5,514,153.	Innovations, Inc.'s Motion	for Partial s	summary Judgment of
	Declaration of Edward L. Felix, M.D., in Summary Judgment.	Support of origin's Opposit	ion to GSI's	Motion for Partial
	Uretropexia Laparoscopia, Arch. Esp. de	Urol. 46, 7 (642-644) 199	3 (With Eng	glish Translation).
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known					
Application Number	10/729,634				
Filing Date	December 5, 2003				
First Named Inventor	Peter M. Bonutti				
Art Unit	3731				
Examiner Name	Michael R. Thaler				
Attorney Docket Number	2500 DW HCON HOW SHOOK BY CON-1515 DW HCON HOW MCON BO				

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Examiner Initials*	Cite No.1	Document Number	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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		Country Code <sup>3</sup> Number **Kind Code <sup>8</sup> (If known)	MM-DD-YYYY			Or Relevant Figures Appear	
2		2,580,504	10/1986	FR			
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFO	ORMATIO	N DIS	CLOSURE	Filing Date	December 5, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Peter M. Bonutti	
	///			Art Unit	3731	
	(Use as many sh	ieets as r	iecessary)	Examiner Name	Michael H. Thaler	
Sheet	2	of	3	Attorney Docket Number	2500 DIV II CON II DIV III CON IV 203-3515 DIV II CON II DIV III CON IV	

	·	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
M		Rafael CINELLI, M.D., "The Inflatable Silastic Bag: A Practical Device that Simplifies Augmentation Mammaplasty." From the Division of Plastic Surgery, Department of Surgery, at the Maimonides Medical Center and Medical Arts Center of New York.	
		Bruce M. RIGG, M.D., "Inflatable Device for Intraoperative Use During Augmentation Mamaplasty."Reconstructive Surgery, May 1982.	
		Gordon H. SASAKI, M.D., "Intraoperative Sustained Limited Expansion (ISLE) as an Immediate Reconstructive Technique." Clinics in Plastic Surgeryvol. 14, No. 3, Jul. 1987.	
		Gordon H. Sasaki, M.D., "Intraoperative Expansion.TM. as an Immediate Reconstructive Technique." Facial Plastic Surgery, 5:4, Jul. 1988.	
		Declaration of Bela S. Denes, M.D., in Support of GSI's Opposition to Origin's Motion for Summary Judgment of Invalidity.	
		Declaration of John H. Payne, M.D., in Support of GSI's Opposition to Origin's Motion for Summary Judgment of Invalidity.	
		Declaration of Rafael Cinelli, M.D., in Support of GSI's Opposition to Origin's Motion for Summary Judgment of Invalidity.	
		Rebuttal Expert Report of John H. Payne, M.D.	
		Rebuttal Expert Report of Rafael Cinelli, M.D.	
		Expert Report of Ernest K. Manders, M.D., executed date Dec. 1, 1997.	

Examiner		Date	r.,
Signature	Chr.	Considered	9-10-07

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STATEMENT BY APPLICANT				First Named Inventor	Peter M. Bonutti	
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(Use as many sheets as necessary)		Examiner Name	Michael H. Thaler			
Sheet	3	of	3	Attorney Docket Number	2500 DIV II CON II DIV III CON IV 203-3515 DIV II CON II DIV III CON IV	

	1 0"	NON PATENT LITERATURE DOCUMENTS	
Examiner Cite Initials* No.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issunumber(s), publisher, city and/or country where published.	
n		Expert Report of Guy Voeller, M.D., executed date Dec. 1, 1997.	
		Declaration of Guy Voeller in Support of Origin's Motion for Summary Judgment of Invalidity	
		Henry T. HOFFMAN, , M.D., and Shan H. BAKER, M.D. "Nasal Reconstruction with the Rapidly Expanded Forehead Flap," Laryngoscope, 99:1096-1098.	
		R. WITTMOSER, Retroperitoneoscopy: A Preliminary Report, pp. 760-761.	
		Dr. Sanchez de Badajoz CHAMMORRO et al., Stress Incontinence: A New Endoscopic Approach, Urology, vol. 36, No. 5, pp. 403-405.	
		Order Denying Plaintiff General Surgical Innovations, Inc.'s Motion for Partial summary Judgment of Claim 3 or U.S. Patent No. 5,514,153.	
		Declaration of Edward L. Felix, M.D., in Support of origin's Opposition to GSI's Motion for Partial Summary Judgment.	
		Uretropexia Laparoscopia, Arch. Esp. de Urol. 46, 7 (642-644) 1993 (With English Translation).	
7		Urethropexia Laparoscopica Extraperitoneal, Arch. Esp. de Urol. 47.4 (415-418) 1994 (English Translation Attached).	

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<sup>\*\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Art Unit	3731			
Examiner Name	Michael H. Thaler			
Attorney Docket Number	2500 DRV 2 COM 2 DRV 3 COM 4 (203-3516 DRV 2 COM 2 DRV 3 COM 4)			

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>8</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
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